



# Nonlinear response of nitrate to NO<sub>x</sub> reduction in China during the COVID-19 pandemic

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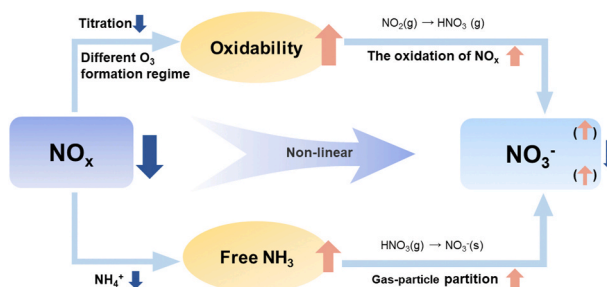
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## HIGHLIGHTS

- Enhanced secondary nitrate of aerosol particles were observed during COVID-19 lockdown.
- The emission reduction of NO<sub>x</sub> led to higher atmospheric oxidizing capacity and ammonia concentration.
- Elevated atmospheric oxidizing capacity and NH<sub>3</sub> further promotes nitrate formation.

## GRAPHICAL ABSTRACT



## ARTICLE INFO

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## ABSTRACT

In recent years, nitrate plays an increasingly important role in haze pollution and strict emission control seems ineffective in reducing nitrate pollution in China. In this study, observations of gaseous and particulate pollutants during the COVID-19 lockdown, as well as numerical modelling were integrated to explore the underlying causes of the nonlinear response of nitrate mitigation to nitric oxides (NO<sub>x</sub>) reduction. We found that, due to less NO<sub>x</sub> titration effect and the transition of ozone (O<sub>3</sub>) formation regime caused by NO<sub>x</sub> emissions reduction, a significant increase of O<sub>3</sub> (by ~ 69%) was observed during the lockdown period, leading to higher atmospheric oxidizing capacity and facilitating the conversion from NO<sub>x</sub> to oxidation products like nitric acid (HNO<sub>3</sub>). It is proven by the fact that 26–61% reduction of NO<sub>x</sub> emissions only lowered surface HNO<sub>3</sub> by 2–3% in Hebi and Nanjing, eastern China. In addition, ammonia concentration in Hebi and Nanjing increased by 10% and 40% during the lockdown, respectively. Model results suggested that the increasing ammonia can promote the gas-particle partition and thus enhance the nitrate formation by up to 20%. The enhanced atmospheric oxidizing capacity together with increasing ammonia availability jointly promotes the nitrate formation, thereby partly offsetting the drop of NO<sub>x</sub>. This work sheds more lights on the side effects of a sharp NO<sub>x</sub> reduction and highlights the importance of a coordinated control strategy.

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## 1. Introduction

Atmospheric aerosol, especially fine particles (PM<sub>2.5</sub>), has drawn increasing attention due to its negative impacts on both air quality and human health (Brook et al., 2010; Kim et al., 2015). As the world's second-largest economy, China has experienced severe air pollution caused by increasing coal consumption, motor vehicle usage and industrial production, which are linked to the rapid economic development (Xu et al., 2013). In 2013, the annual mean PM<sub>2.5</sub> concentration reached up to 75  $\mu\text{g m}^{-3}$ , and the daily concentration even exceeded 500  $\mu\text{g m}^{-3}$  during the winter hazy days. Such extreme and aggravating haze pollution has triggered extensive public alarm and aroused the attention of scientific research. Many studies proposed that secondary inorganic compositions like sulfate, nitrate, and ammonium (SNA) played a dominant role (>50%) in the severe haze in China (Wang et al., 2020; Chan and Yao, 2008; Yang et al., 2011).

To mitigate air pollution, the State Council of China promulgated the toughest-ever Air Pollution Prevention and Control Action Plan in 2013 (China State Council, 2013). This air pollution control chiefly focused on the reduction in primary emissions of sulfur dioxide (SO<sub>2</sub>) and nitrogen dioxide (NO<sub>2</sub>), which are the main precursors of SNA. As expected, the Action Plan has achieved drastic emission reduction. From 2013 to 2017, the average national emissions of SO<sub>2</sub>, NO<sub>x</sub>, and primary PM<sub>2.5</sub> decreased by 59%, 21%, and 33%, respectively (Ding et al., 2019; Wang et al., 2019; Zhang et al., 2019). Despite the remarkable air quality improvements, air pollution in China remains severe (Zhang et al., 2019). For instance, 70.7% of cities in China failed to meet the national standard of annual PM<sub>2.5</sub> (35  $\mu\text{g m}^{-3}$ ) in 2017. And serious pollution events, particularly secondary pollution, still frequently happen. The severe particulate pollution (daily mean PM<sub>2.5</sub> concentrations larger than 150  $\mu\text{g m}^{-3}$ ) days observed in Beijing-Tianjin-Hebei (BTH) and Yangtze River Delta (YRD) was 31 and 25 days in 2017 winter, separately (Li et al., 2019). Meanwhile, many observations revealed that the contributions of nitrate to PM<sub>2.5</sub> have continuously increased and have become the main cause of severe pollution in China since 2013 (Xu et al., 2019a; Wen et al., 2018). For example, in Beijing (2018), secondary nitrate was the largest source, accounting for 25.7% of the annual measured PM<sub>2.5</sub>, and during the heavily polluted days, the contribution from nitrate even reached 57.5% (Huang et al., 2021). Similarly, in Nanjing, nitrate had the highest annual average concentration (12.8  $\pm$  11.4  $\mu\text{g m}^{-3}$ ) among all bulk species during 2017–2018 (Yu et al., 2020). In Chengdu, long-term observations from 2015 to 2017 indicated that the concentrations of sulfate and ammonium have been well reduced, but nitrate did not show a similar decrease (Kong et al., 2020).

Since the end of January 2020, the Coronavirus Disease 2019 (COVID-19) first outbreak in China. Due to the rapid growth of the confirmed case number and deaths, the Chinese government has implemented various policies against the COVID-19 pandemic, including traffic restrictions and stay-at-home orders involving more than 1.3 billion people (Xinhua News, 2020). With the lockdown policy strictly enforced, transportation and industrial production were dramatically decreased, and thereby posing a direct impact on air pollutant emissions. For instance, it was found that daily concentrations of SO<sub>2</sub>, NO<sub>2</sub>, and CO during the lockdown period were reduced by 31.3%, 60.4%, and 3% respectively, compared to the same period in 2019 (Filonchik and Peterson, 2020). However, the air quality in eastern and northern China did not improve prominently as expected, for instance, several cases of heavy haze pollution occurred in BTH, YRD, and Hubei province (Chang et al., 2020; Li et al., 1994; Shen et al., 2021). Despite a large reduction (~60%) in NO<sub>x</sub> emissions, nitrate is still the dominant component of PM<sub>2.5</sub> in Nanjing and Beijing. Both the nitrate pollution during COVID-19 and the increased nitrate proportion from 2013 to 2017 signify that it is crucial to identify the key factors of nitrate formation to alleviate the increasing trend of nitrate pollution.

The outbreak of COVID-19 provides an exceptionally unique opportunity to assess the relationship between air pollution and

anthropogenic emissions, particularly the responses of nitrate chemistry to NO<sub>x</sub> emission reduction. Based on the special experiment introduced by COVID-19 lockdown, this study aims to shed more lights on the less nitrate improvement than expected in eastern China. Here, we first compared the concentration of pollutants during the pre-COVID and lockdown period with the in-situ observational data. And then a chemical box model was used to unravel the relationship between nitrate and its precursors. Besides, we performed parallel experiment simulations by a chemical transport model with different emission scenarios to examine the impact of COVID-19 emission reductions on nitrate and HNO<sub>3</sub>. In general, by integrating both observations and simulations, this work aims to explore the nonlinear response of nitrate to its precursors, which unearths an explanation for still serious nitrate pollution despite substantial reductions of NO<sub>x</sub>. The conclusions of the study can help us examine the effectiveness of air emission reduction policies, which may help mitigation of nitrate pollution in the future.

## 2. Data and methods

### 2.1. Observational stations and instrumentation

To better understand the regional-scale air pollution, observation dataset of four sites (Fig. 1) in Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD), and Central China (CC) were used to analyze the air pollutants concentrations in pre-COVID (1–24 January 2020) and the COVID-lock periods (26 January –17 February 2020).

For the BTH region, one of the field observations is conducted at the Chinese Research Academy of Environmental Sciences (CRAES) (40.05° N, 116.42° E) in Beijing. The sample inlet is approximately 4 m above the ground and 46 m above sea level. This site is surrounded by university buildings, 300 m northeast of a densely trafficked major road (Gao et al., 2016). Another site of the BTH region is conducted in Hebi City Ecological Environment Bureau (35.73° N, 114.30° E). The site is surrounded by government buildings, schools and residential areas. Both two sampling sites in BTH could be representative of an urban district with the mixed influence of residual, traffic, and construction emissions. For the YRD region, the site is located in the Station for Observing Regional Processes of the Earth System (SORPES) in Nanjing, which is a regional background station in the western part of the YRD region (32.11° N, 118.95° E) (Ding et al., 2013, 2019). The station is located on the top of a 40 m hill, inside Nanjing University Xianlin Campus, 20 km northeast of downtown Nanjing (Shen et al., 2018). For the CC region, the PM<sub>2.5</sub> composition data was obtained from the Changsha Malingpo air quality monitoring station (28.20° N, 113.08° E).

In all these four sites, online sampling instruments are set to measure key meteorological parameters, the concentrations of gaseous pollutants and the water-soluble inorganic ion component of PM<sub>2.5</sub> concentration. A commercially available Monitor for Aerosols and Gases in ambient Air (MARGA, Metrohm Ltd., Switzerland) was used to measure the hourly PM<sub>2.5</sub> concentration water-soluble ions (NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>) and inorganic gases (NH<sub>3</sub>, HNO<sub>3</sub>, HCl) (Sun et al., 2018; Xie et al., 2015). The MARGA instrument was calibrated on an hourly basis using internal standard liquid (LiBr) to ensure a stable and reliable ion chromatograph. And the organic carbon (OC), and elemental carbon (EC), were measured by a field semi-online OC/EC analyzer from Sunset Laboratory Inc. (USA) with a PM<sub>2.5</sub> cyclone inlet applied to measure OC/EC in aerosol. To avoid bias in measuring OC, volatile organic compounds were removed by a carbon parallel-plate diffusion denuder (from Sunset Lab) equipped to the device (Gao et al., 2016). Additionally, the hourly PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub>, and CO data were obtained from China's air quality monitoring networks, which can be archived at the air monitoring data center of the Ministry of Ecology and Environment of China. The meteorological data, including wind direction, wind speed, temperature, precipitation and relative humidity were directly obtained from NCDC Integrated Surface Database (ISD).

2.2. Regional chemical transport model

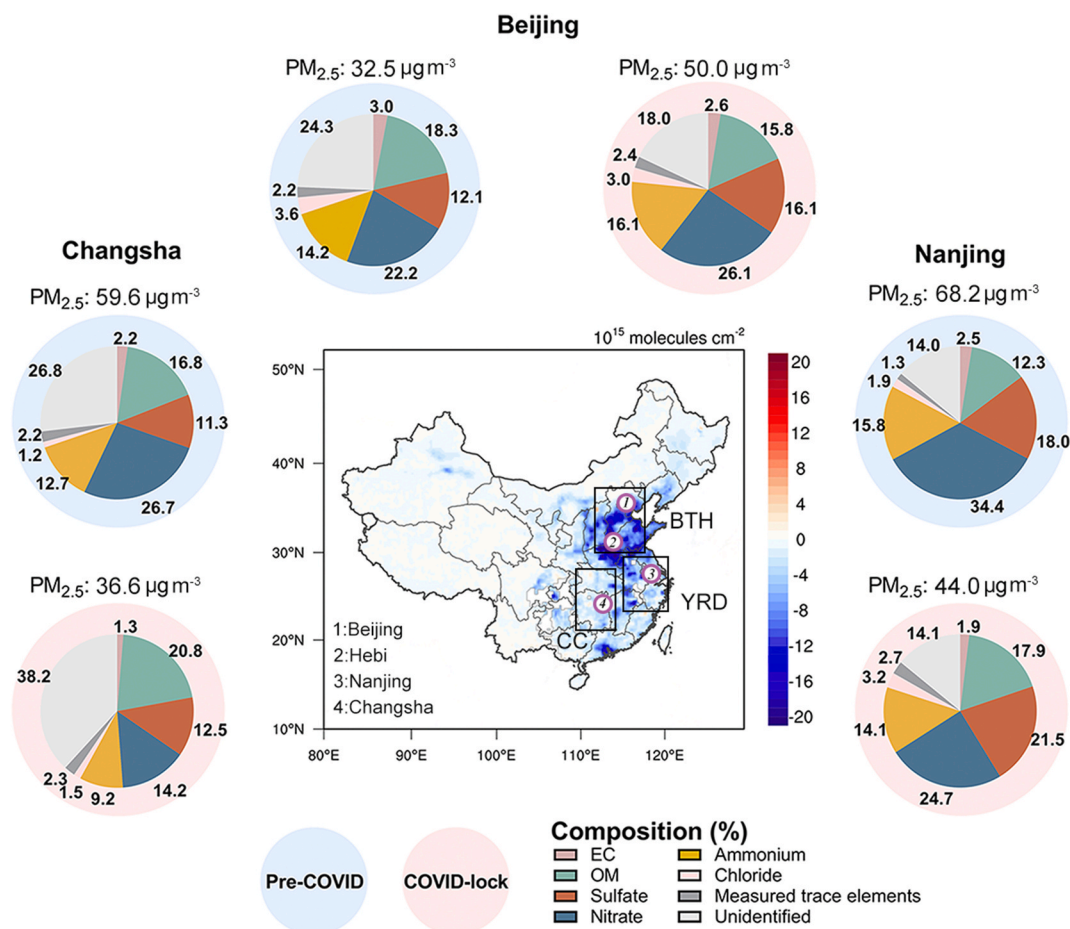
To quantitatively understand the response of air quality in the pre-COVID and COVID-lockdown period, regional coupled dynamical and chemical simulations were conducted based on WRF-Chem model (Weather Research and Forecasting model coupled with Chemistry, version 3.7.1). WRF-Chem is an online three-dimensional, Eulerian model that includes physical and chemical processes such as emission and deposition of pollutants, advection and diffusion, gaseous and aqueous chemical transformation, aerosol chemistry and dynamics, etc (Grell et al., 2005). The model has been widely used and evaluated against measurements, which has been further improved by optimizing the parametrization of aqueous and heterogeneous chemistry in Asia (Huang et al., 2014). In this study, the model domain was centered at 35° N and 110° E with a 20 km × 20 km spatial resolution to cover eastern China and its surrounding areas. On vertical distribution, 30 vertical layers were set from the surface to the top pressure of 50 hPa, and 10 of them were set below 1 km to better resolve the processes within boundary layer (Wang et al., 2018).

The simulation was conducted from December 1, 2019 to February 14, 2020. The initial and boundary meteorological conditions were set by 6-hourly 1° × 1° National Center for Environment Prediction (NCEP) global Final (FNL) reanalysis data. Surface and global upper air observational weather data of wind, temperature and moisture from NCEP Automated Data Processing (ADP) were assimilated to improve the reproduction of meteorological fields. The physics and chemical processes schemes used in this study are summarized in Table 1.

**Table 1**  
WRF-Chem model configuration options.

Physical and chemical processes	Scheme
Boundary layer	YSU
Land surface	Unified Noah
Microphysics	Lin (1983)
Cumulus parameterization	Grell-Devenyi
Longwave radiation	RRTMG
Shortwave radiation	RRTMG
Gas-phase chemistry mechanism	CBMZ
Aerosol scheme	MOSAIC

Natural emissions such as biogenic, sea salt (Gong et al., 1997) and dust (Shaw et al., 2008) were included online into the model runs for this study. Biogenic emissions were calculated online by MEGAN (Model of Emissions of Gases and Aerosols from Nature) module. It estimated the net emission rates of isoprene, monoterpene and other biogenic VOCs from terrestrial ecosystems into the above-canopy atmosphere. In addition to natural emissions, the anthropogenic emissions of CO, NO<sub>x</sub>, SO<sub>2</sub>, NH<sub>3</sub>, BC, OC, PM<sub>2.5</sub>, PM<sub>10</sub> and VOCs were set based on the MEIC database (Multi-resolution Emission Inventory for China) (Li et al., 2017). To quantitatively understand the emission-triggered perturbations on air pollution, two parallel experiments with different emission scenarios were designed: (i) standard emission levels in 2017 (Run\_std), (ii) the same as (i) but reducing the anthropogenic emissions with the up-to-date reduction ratio during the lockdown period (Run\_reduction) (Huang et al., 2020; Zheng et al., 2021). And the simulation well



**Fig. 1.** Comparison of the median daily PM<sub>2.5</sub> concentrations (μg m<sup>-3</sup>) and hourly average chemical components friction (%) in different observation sites. The pies with blue underpainting mean pre-COVID period, and the red underpainting represents COVID-lockdown period. The inner picture is a spatial distribution of NO<sub>2</sub> column concentration reduction due to the COVID-19 lockdown from OMI satellite. The black rectangles represent the three focused regions in eastern China. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

reproduced the observation of the PM<sub>2.5</sub> chemical components in three cities (Figs. S5–S7).

### 2.3. Chemical sensitivity box modelling

A zero-dimensional chemical box model was configured to simulate the non-linear relationship between nitrate and NO<sub>x</sub>. It couples the lumped structure photochemical mechanism CBMZ (including 154 chemical reactions) to account for gas-phase atmospheric chemistry (Zaveri and Peters, 1999). To examine the relationships between nitrate formation and its precursors, we conducted a series of scenarios simulations with the initial concentrations of NO<sub>x</sub> varying from 0 to 80 ppb (at intervals of 10 ppb) according to existing field measurements across China. Observed concentrations obtained from ground measurements during the COVID-19 period were used to drive the box model as initial conditions (listed in Table S1). However, the VOCs measurements were not made during the present study, so we used the average simulation data derived from WRF-Chem model output for approximation. As for meteorological conditions, the box model was initialized with lockdown period averaged meteorological measurements at 12:00 UTC taken in Beijing to represent the typical atmospheric stratification in wintertime. Each numerical experiment was conducted for a time period of 24 h.

### 2.4. ISORROPIA-II thermodynamic equilibrium model

The formation of nitrate depends on the reversible reaction of the chemical equilibrium between gas-phase HNO<sub>3</sub> and NH<sub>3</sub>, and particle-phase NH<sub>4</sub>NO<sub>3</sub>. The gas-particle equilibrium is temperature-dependent (Seinfeld and Pandis, 2016). The ISORROPIA-II (available at <http://isorrophia.eas.gatech.edu/>) is a thermodynamic equilibrium model that can be used to determine the phase state and composition of an NH<sub>4</sub><sup>+</sup>-SO<sub>4</sub><sup>2-</sup>-NO<sub>3</sub><sup>-</sup>-K<sup>+</sup>-Ca<sup>+</sup>-Mg<sup>+</sup>-Na<sup>+</sup>-Cl<sup>-</sup>-H<sub>2</sub>O aerosol system with its corresponding gas components in thermodynamic equilibrium. To estimate the effects of temperature changes on NH<sub>3</sub> concentrations, we fixed measurements of water-soluble ions and used temperature and relative humidity (RH) during pre-COVID and COVID-lockdown periods as input data. In this study, ISORROPIA-II was run in the “forward mode”, assuming particles were “stable” with solid precipitates in low RH.

### 2.5. Ambient free ammonia indicator

To quantify the availability of ambient free ammonia in two periods, the observed molar ratio (R) of total ammonia (TA = NH<sub>3</sub> + NH<sub>4</sub><sup>+</sup>) to the sum of sulfate, total chlorine and total nitrogen (TN = NO<sub>3</sub><sup>-</sup> + HNO<sub>3</sub>) minus Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup> was chosen as an observable indicator (Xu et al., 2019b).

$$R = \frac{TA}{2SO_4^{2-} + NO_3^- + HNO_3(g) + Cl^- + HCl(g) - 2Ca^{2+} - Na^+ - K^+ - 2Mg^{2+}} \quad (1)$$

$R > 1$  means NH<sub>3</sub>-rich environment where particulate NO<sub>3</sub><sup>-</sup> formation is HNO<sub>3</sub> limited.  $R < 1$  is the opposite and means NH<sub>3</sub> is limited.

## 3. Results and discussion

### 3.1. Observational evidence of enhanced secondary nitrate aerosol during lockdown

The air pollution measurements in pre-COVID and COVID-lockdown periods provided an insight into the air pollution with different emission levels. Based on PM<sub>2.5</sub> observations with good data coverage and quality control in three region sites, the comparison of PM<sub>2.5</sub> chemical compositions in different regions and the whole change of NO<sub>2</sub> column concentration are shown in Fig. 1.

As displayed in Fig. 1, despite the sudden reduction in primary

emissions during COVID-19 lockdown, the daily PM<sub>2.5</sub> concentrations in Beijing, Nanjing, and Changsha are still worse than China's 35 μg m<sup>-3</sup> standard and 4–5 times higher than the WHO's PM<sub>2.5</sub> guideline value of 10 μg m<sup>-3</sup> (World Health Organization Occupational and Environmental Health Team, 2006). Unexpectedly, frequent PM<sub>2.5</sub> spikes were observed during COVID-lock period especially in Beijing and the overall PM<sub>2.5</sub> level in three cities was practically indistinguishable from that of the past three years for the same time in the calendar (Figs. S1 and S2). Although NO<sub>x</sub> featured the largest decrease of all pollutants in Beijing and Nanjing, the mass fraction of nitrate can reach around 25% and it is still the dominant component in PM<sub>2.5</sub>. Unlike two northern cities, the proportion of nitrate in Changsha has dropped significantly from 26.7% to 14.2%. Such a nonlinear relationship of nitrate and NO<sub>x</sub> emissions degradation as well as regional differences inspires us to further investigate the underlying causes. Generally, particulate nitrate is formed by two major pathways. In the daytime, the NO<sub>2</sub> can be oxidized by OH radicals to form HNO<sub>3</sub>, which is subsequently neutralized by NH<sub>3</sub> to produce ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) (Calvert and Stockwell, 1983). In dark conditions, the reaction of NO<sub>2</sub> and O<sub>3</sub> produces the nitrate radical (NO<sub>3</sub><sup>·</sup>), which forms an equilibrium with N<sub>2</sub>O<sub>5</sub> that can be subsequently taken up onto particles to enhance nitrate aerosol (Pathak et al., 2009, 2011; Brown and Stutz, 2012).

To analyze the impact of the precursor reduction on nitrate formation, the concentrations of these pollutants are compared in the pre-COVID and COVID-lock periods in Fig. 2. Consistent with satellite observations in Fig. 1, the near-surface NO<sub>2</sub> concentration also declined sharply (by ~ 61%) during the COVID-lockdown period in all three cities. However, the O<sub>3</sub> concentration increased significantly (by ~ 69%) due to the smaller titration of NO and the decrease of NO<sub>x</sub> in a VOC-limited environment. Previous studies also indicate that the changes in the VOCs composition due to amount use of disinfectants containing compounds such as alcohols and carbonyls may also play a role in O<sub>3</sub> increase (Peralta et al., 2021). Besides, increased concentration of NH<sub>3</sub> was observed in Hebi and Nanjing, which might be contributed by rapid NO<sub>x</sub> emission reduction and the volatilization of

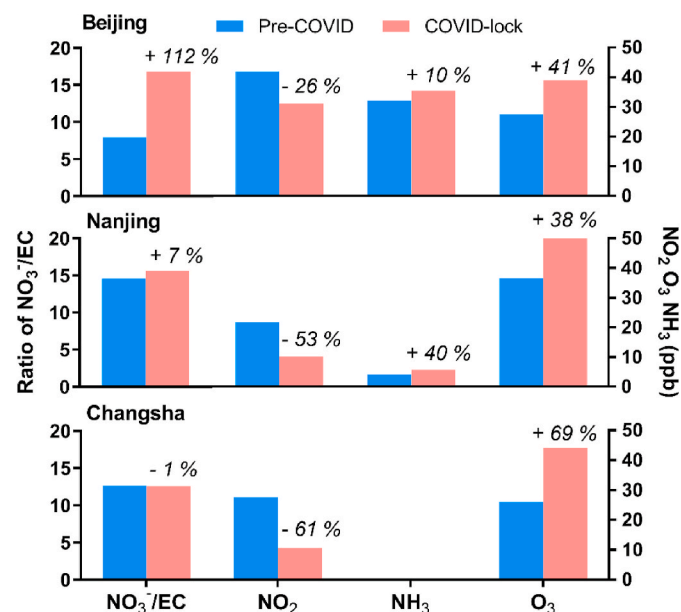


Fig. 2. Comparison of average NO<sub>3</sub><sup>-</sup>/EC, NO<sub>2</sub>, NH<sub>3</sub>, O<sub>3</sub> concentrations and relative change (%) during the pre-COVID (blue bar) and COVID-lock (red bar) periods in Beijing, Nanjing and Changsha three ground sites. Due to the lack of NH<sub>3</sub> observation in Beijing, NH<sub>3</sub> data in the Beijing subgraph were provided by the Hebi site. Note: NO<sub>2</sub>, NH<sub>3</sub> and O<sub>3</sub> are the averages of their daily maximal concentration for each period, and NO<sub>3</sub><sup>-</sup>/EC is the hourly average concentration. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

NH<sub>3</sub> from the massive use of ammonia-based household cleaners during lockdown (discussed in Section 3.3). Compared with SNA, EC is mainly from primary emission, so the relative concentration of nitrate and EC can provide an insight into secondary nitrate formation (Huang et al., 2020). In spatial distribution, the nitrate production in northern China showed an increase in the lockdown period, especially in Beijing (+112%). On the contrary, there was no obvious change in Changsha (−1%). Such variations of nitrate production were consistent with the variations of nitrate friction in three cities displayed in Fig. 1, where Beijing showed the highest increasing level, followed by Nanjing and Changsha. The increment of nitrate friction (by ~ 4.1%) in Beijing was possibly due to the strengthened secondary nitrate production (by ~ 112%). Due to the negative effect caused by enhanced nitrate production, we further analyzed the secondary products in the process of nitrate formation.

Since that nitrate has different formation mechanisms during the day and night, we analyzed the diurnal cycle differences of NOR (nitrogen oxidation ratio,  $NOR = [NO_3^-]/([NO_3^-] + [NO_2])$ ), O<sub>3</sub>, NO<sub>2</sub>, HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub> and particulate nitrate between two periods. Fig. 3 shows the concentration changes ([lock]−[pre]) or relative changes ([lock]−[pre])/[pre] of the abovementioned species at the same diurnal time. Owing to NO<sub>x</sub> reduction during lockdown period, evident changes of the NO<sub>2</sub> diurnal cycle can be observed at all 3 cities, especially with much higher reduction at night time. Contrary to NO<sub>2</sub>, the change of O<sub>3</sub> shows a significant opposite pattern, with evident increase at night, except for Changsha. Many studies have shown that NO<sub>x</sub> reductions relax OH depletion by NO<sub>x</sub> and in turn produce more O<sub>3</sub>. Besides, NO<sub>x</sub> reductions can increase O<sub>3</sub> concentration by reducing NO<sub>x</sub> titration (via NO + O<sub>3</sub> as well as nocturnal NO<sub>2</sub> + O<sub>3</sub> reactions) (Leung et al., 2020). Due to these effects, a distinct increase was observed in O<sub>3</sub> in three sites. It is noteworthy that the patterns of diurnal differences between two periods in NOR, O<sub>3</sub> and HNO<sub>3</sub>/N<sub>2</sub>O<sub>5</sub> are similar. Owing to the rising oxidizing capacity caused by O<sub>3</sub>, the production rate of HNO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> was enhanced, pattern of which is consistent with the higher NOR displayed in Fig. 3. In Hebi and Nanjing, with the more efficient nitrogen oxidation at night, the HNO<sub>3</sub> shows an inapparent decrease though a sharp decline in NO<sub>2</sub>.

Different from cities in northern China, the increase of O<sub>3</sub> peaks during the daytime (6:00–15:00) in Changsha. Due to the lack of observations on HNO<sub>3</sub> concentration, WRF-Chem simulated N<sub>2</sub>O<sub>5</sub> data was

analyzed showing in Fig. 3c. Since N<sub>2</sub>O<sub>5</sub> is easily photodegraded during the day, the concentration of N<sub>2</sub>O<sub>5</sub> does not change obviously in the daytime although O<sub>3</sub> levels increase significantly. At night, the slightly increase in O<sub>3</sub> concentration is not enough to offset the impact of NO<sub>x</sub> decline, so the concentration of N<sub>2</sub>O<sub>5</sub> drops a lot. The above observational analysis shows that the increase in O<sub>3</sub> can partly offset the reduction of NO<sub>x</sub> and promote the production of HNO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>. At the same time, there are spatial differences between northern China and the CC region.

### 3.2. Facilitated atmospheric oxidation induced by NO<sub>x</sub> reduction

To shed more lights on the mechanism behind the observed phenomenon mentioned in Sect. 3.1, a series of scenario experiments based on the chemical box model were conducted. Ozone, an important oxidant for the formation of nitrate, is formed from emissions of NO<sub>x</sub> and VOCs in the presence of sunlight. Therefore, O<sub>3</sub> formation is complicated by its association with the abundance of NO<sub>x</sub> and VOCs. Fig. 4a shows the relationship between NO<sub>x</sub> and O<sub>3</sub> concentrations. In the NO<sub>x</sub>-limited regime, NO<sub>x</sub> is short-supply, and O<sub>3</sub> is effectively formed with rising NO<sub>x</sub> concentrations. However, as the concentration of NO<sub>x</sub> increases, O<sub>3</sub> formation becomes VOCs-limited. Freshly emitted NO rapidly reacts with O<sub>3</sub>, leading to NO<sub>2</sub> formation and O<sub>3</sub> depletion (titration process). Close to the sources, such titration process can be considered as a main O<sub>3</sub> sink. In addition, high NO<sub>2</sub> concentrations deflect the initial oxidation step of VOCs by forming other products (e.g., nitric acid and PAN), which prevents the net formation of O<sub>3</sub> (Xu et al., 2021). As presented in Fig. 4a, all of the three megacities with relatively high industry and traffic emissions are located at VOCs-limited regime, consistent with previous results (Yang et al., 2020). With the NO<sub>x</sub> reduction during COVID-lock period, the O<sub>3</sub> chemistry moves to NO<sub>x</sub>-limited/mixed sensitive regime which leads to an increase in O<sub>3</sub>, consistent with the phenomenon observed in the three cities.

In addition to O<sub>3</sub>, OH radicals and NO<sub>3</sub> radicals are also important atmospheric oxidants in nitrate formation during day and night, respectively (Saiz-Lopez et al., 2017). In a VOCs-limited regime, the reduction of NO<sub>x</sub> can release OH radicals that were originally depleted by NO<sub>x</sub>, leading to an increase in OH, the same as ozone pattern. At night, NO<sub>3</sub> radical is generated by the reaction of NO<sub>2</sub> with O<sub>3</sub> and is dominantly depleted by freshly-emitted NO. Consequently, NO<sub>3</sub> radical

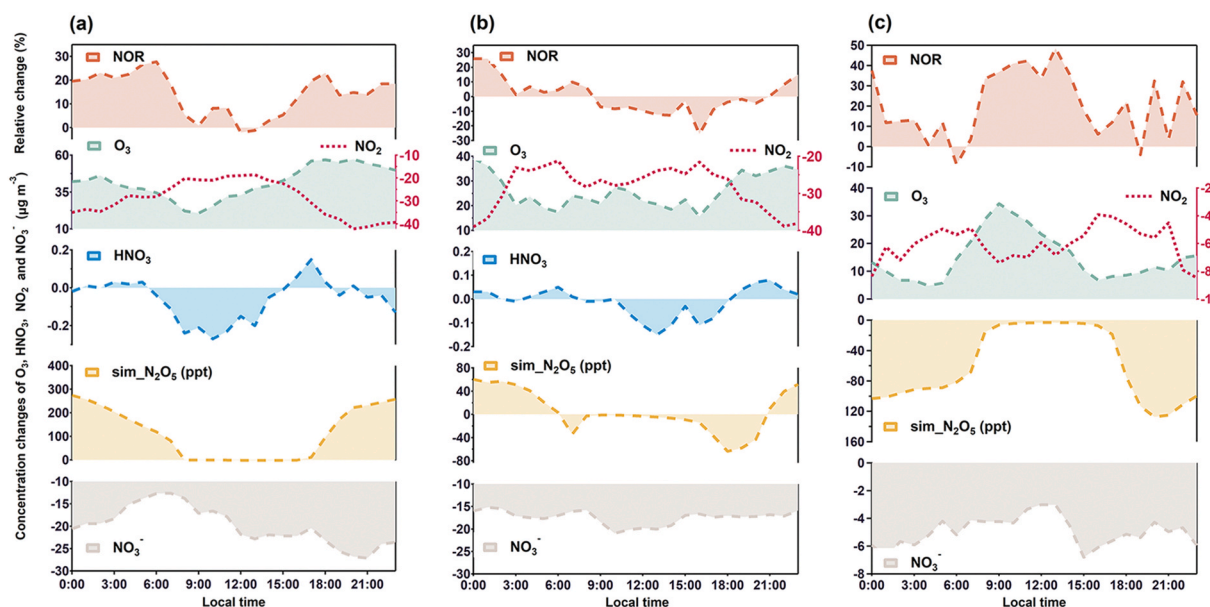
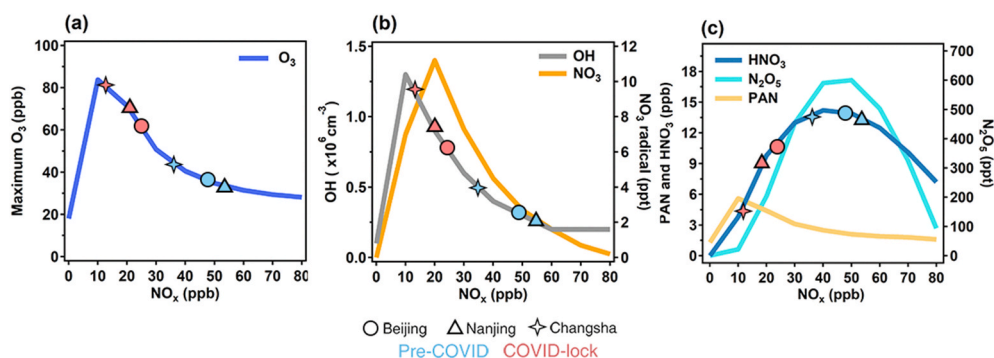


Fig. 3. Diurnal cycle of observed changes in NOR, O<sub>3</sub>, HNO<sub>3</sub> and NO<sub>3</sub><sup>−</sup> (unit: μg m<sup>−3</sup>) between the COVID-lock and pre-COVID periods in (a) Hebi, (b) Nanjing and (c) Changsha station. Variations of N<sub>2</sub>O<sub>5</sub> concentrations (unit: ppt) are provided with WRF-Chem simulations.

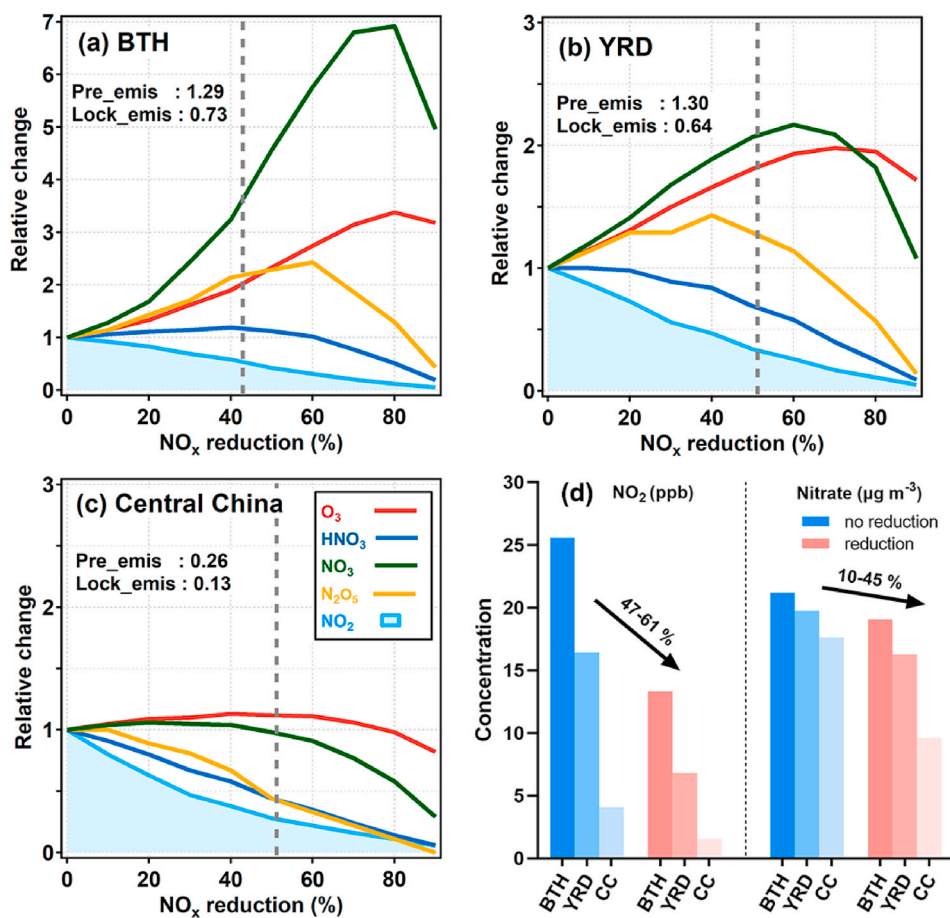


**Fig. 4.** (a) Box model simulated maximum O<sub>3</sub> concentration as a function of the initial concentrations of NO<sub>x</sub> (0–80 ppb), (b) average OH radical (grey) and NO<sub>3</sub> radical (yellow) concentrations, (c) average HNO<sub>3</sub> (dark blue), N<sub>2</sub>O<sub>5</sub> (light blue) and PAN (yellow) concentrations. Three shapes of symbols indicate the observed NO<sub>x</sub> concentrations in three sites. The blue fill symbols mean pre-COVID period and red ones represent COVID-lock period. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

concentration soared by two times because of a simultaneous NO reduction and O<sub>3</sub> increase, as shown in Fig. 4b. As the oxidants increase, the production efficiency of HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub> and PAN is enhanced even though their precursor NO<sub>x</sub> dropped significantly. Under the joint influence of NO<sub>x</sub>, OH and NO<sub>3</sub>, the concentrations of HNO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> reach their peak where NO<sub>x</sub> is between 40 and 50 ppb. The NO<sub>x</sub> levels in three cities during different periods are marked in Fig. 4. Although the increase in O<sub>3</sub> in the three cities is similar (Fig. 4a), their resultant HNO<sub>3</sub> concentration varies greatly due to their different initial NO<sub>x</sub> concentrations (Fig. 4c). The main source of NO<sub>x</sub> resulting from human activities is the combustion of fossil fuels especially fuel used in cars. According to the MEIC inventory in 2017 (Li et al., 2017), the NO<sub>x</sub> emission rate in Beijing, Nanjing and Changsha are 1.03, 1.32 and 0.63 Mg km<sup>-2</sup> per month, respectively. For northern cities (Beijing and Nanjing), NO<sub>x</sub> is about to reach the tipping point of HNO<sub>3</sub> during the pre-COVID period and HNO<sub>3</sub> concentrations still maintain relatively

high after NO<sub>x</sub> reduction. For Changsha, due to the less NO<sub>x</sub> emission intensity, the initial concentration of NO<sub>x</sub> is much lower than two other cities. Hence, the NO<sub>x</sub> concentration during pre-COVID period has passed the tipping point, and HNO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> drops sharply during the lockdown period in Changsha. PAN is an important indicator for photochemical pollution, forming similarly as O<sub>3</sub> in the photochemistry of certain VOCs and NO<sub>x</sub>. The exclusive formation pathway of PAN is the termination reaction of peroxyacetyl radical (CH<sub>3</sub>C(O)O<sub>2</sub>, PA) with NO<sub>2</sub> (Liu et al., 2021). As PA comes mostly from the OH/NO<sub>3</sub>/O<sub>3</sub> oxidation of a subset of oxygenated VOCs (OVOCs) in the box model, PAN and the above oxides have a similar relationship pattern which both reach the peak when NO<sub>x</sub> is approximately 10 ppb.

In addition to the box model, we further conducted 3-dimensional regional simulation using WRF-Chem to explore the sensitivity to different NO<sub>x</sub> reduction rates (from 10% to 90%) in three regions. In Fig. 5, it clearly shows that the sharp reduction in NO<sub>x</sub> emissions causes



**Fig. 5.** Changes of O<sub>3</sub>, HNO<sub>3</sub>, NO<sub>3</sub> radical, N<sub>2</sub>O<sub>5</sub> and NO<sub>2</sub> as a function of NO<sub>x</sub> emission reduction in the (a) BTH, (b) YRD and (c) Central China regions. The total NO<sub>x</sub> emissions during Pre-COVID and COVID-Lock periods in three regions are shown in the upper left of each sub-graph (unit: Mg km<sup>-2</sup> per month). (d) NO<sub>2</sub> and nitrate concentration during the COVID-lockdown period for WRF-Chem runs with “reduction” (red) and “no reduction” (blue) emission scenarios, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

a substantial increase of  $O_3$ ,  $NO_3$  radicals and hence a nonlinear response of oxidation products like  $HNO_3$ , and  $N_2O_5$  in all three regions. However, there exist great regional disparities. The oxidizing capacity increment in BTH is most evident, followed by YRD and the CC. This regional difference may be caused by two factors: meteorology and precursors concentration (precursor emissions levels). From the perspective of meteorology,  $O_3$  formation highly depends on two meteorological factors including the ultraviolet (UV) radiation that drives photolysis to trigger the chain reaction, and temperature, which affects the reaction kinetics rates (Wang et al., 2017). In the CC, due to higher solar radiation intensity and temperature, the  $O_3$  production is more efficient, and it can quickly make up for the  $O_3$  deficiency caused by the titration effect, and thus presents a weaker response to precursor  $NO_x$  reduction compared with the other two northern regions. From the view of precursor emissions, according to the bottom-up inventory, the  $NO_x$  emission intensity in BTH, YRD and the CC are quite different during the pre-COVID, which are 1.29, 1.30, 0.26  $Mg\ km^{-2}$  per month, respectively. Much lower base concentrations of  $NO_x$  caused by weaker emissions in the CC region makes the NO-titration less sensitive to the  $NO_x$  reduction, and thus the  $O_3$  enhancement is not that obvious. The  $NO_x$  emission reduction and little response of  $O_3$  led to the fact that  $HNO_3$  and  $N_2O_5$  decline much more substantially than other regions, as displayed in Fig. 4c. To quantify the impact of  $NO_x$  reduction on nitrate concentration, we performed another simulation by fixing meteorological conditions in the lockdown period while varying emissions for pre-lockdown (no reduction) and COVID-lockdown (reduction) periods to truncate meteorological effects. As displayed in Fig. 5d, the nitrate concentration in BTH, YRD, and CC three regions decreased by 10%, 17%, and 45%, respectively.

### 3.3. Elevated $NH_3$ further promotes nitrate formation

As an important alkaline gas in the atmosphere,  $NH_3$  plays a crucial role in nitrate formation by neutralizing nitric acid to form nitrate ammonia. Fig. 2 shows that the average surface  $NH_3$  during COVID-19 increased by 10%, and 40% compared to pre-COVID levels over Hebi and Nanjing, respectively.  $NH_3$  concentrations can be affected by  $NH_3$  emissions and the partitioning of  $NH_3$  to  $NH_4^+$ . Generally, the rising ambient temperature will promote the transition of ammonia from  $NH_4^+$  to gaseous state  $NH_3$ . As shown in Fig. S8, with the arrival of spring, the average temperature during COVID-19 lockdown increased by 2.7 °C and 2.1 °C in Hebi and Nanjing, respectively. Based on the thermodynamic theory, we used ISORROPIA-II model to estimate the effects of temperature changes on  $NH_3$  concentrations. The temperature increases favored the gas partitioning of  $NH_4^+$ , which increased average  $NH_3$  concentrations only by 0.01 ppb and 0.28 ppb in Hebi and Nanjing, respectively (Fig. S8), indicating that temperature is not the main factor of  $NH_3$  elevation. In addition, ammonia is a common ingredient in household cleaners because it breaks down grease and grime and evaporates quickly (Erdemir and Dincer, 2021). Likely encouraged by the Chinese government's advice to clean frequently touched surfaces and objects regularly to prevent the spread of the coronavirus, the use of home cleaning products has surged dramatically during the lockdown, which would lead to an increase in the emissions of gaseous ammonia. Based on the bottom-up method, the estimated  $NH_3$  emissions increased by 54.3–108.5 Gg during the lockdown period due to the extra use of liquid cleaners in whole China, which only accounts for 6.3%–12.6% of total emissions over the same period (SI Appendix). The emissions from household cleaners could not dominate the  $NH_3$  elevation and due to the lack of direct measurements and detailed activity data, the estimate is still of considerable uncertainty.

Many existing studies have proposed that reduction in  $SO_2$  emissions or  $NO_x$  emissions is an important factor in determining the increase in atmospheric  $NH_3$  concentrations on the global and regional scales (Liu et al., 2018; Warner et al., 2017; Yu et al., 2018). The weakened formation of ammonium sulfate/nitrate due to  $SO_2$  and  $NO_x$  emission

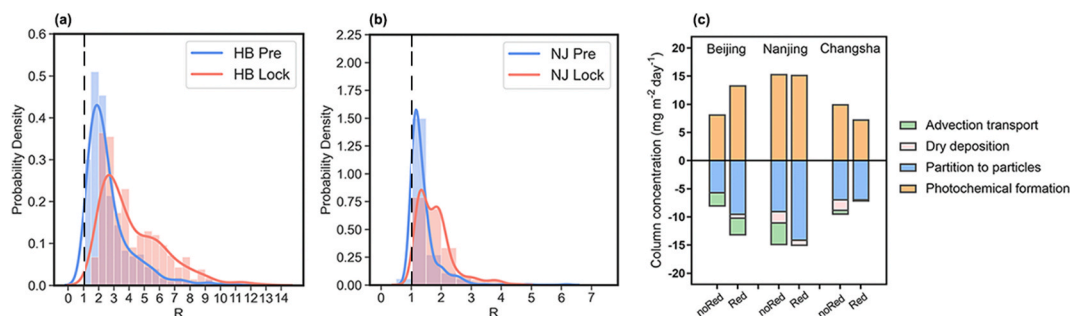
reductions would increase  $NH_3$  concentrations by driving the phase state of  $NH_3$  from particulate to gaseous. By assuming that a 1 mol decrease in simulated  $NO_3^-$  would lead to a 1 mol increase in gaseous  $NH_3$  in this region, and 1 mol  $SO_4^{2-}$  would lead to 2 mol  $NH_3$  increases (Shen et al., 2018), the simulated increases of surface  $NH_3$  due to the reductions of  $SO_4^{2-}$  and  $NO_3^-$  are shown in Figs. S9b and S9c. Because the concentrations of particulate sulfate and  $SO_2$  remains relative low during recent years, the limited reduction in  $SO_2$  emissions and the expected subsequent reduction in  $(NH_4)_2SO_4$  aerosols have little effect on the increase in free  $NH_3$  (Fig. S9b). The spatial distribution and amount of enhancement  $NH_3$  caused by decreased nitrate (Fig. S9c) are more comparable with the total simulated  $NH_3$  change (Fig. S9a), which infers that the increasing  $NH_3$  was mainly determined by  $NO_x$  emission reduction.

The observed molar ratio R (Sect. 2.5) was used to measure the free  $NH_3$  in the atmosphere. In the scenario of  $R > 1$ , the  $NH_3$  is enough, and almost all particulate  $NO_3^-$  exists in the aerosol phase. Due to intensive agricultural activities such as fertilizer application and animal husbandry in the North China Plain, the surrounding area of Hebi (BTH) and Nanjing (YRD) are hotspots of  $NH_3$  loadings. As shown in Fig. 6, in most cases, the R values in the two cities are greater than 1, and during COVID-lock period it was further promoted due to the rising  $NH_3$  concentration.

To study the effect of increasing ammonia (R) on the sink of  $HNO_3$ , diagnostic analysis in WRF-Chem was applied to quantify the contributions of atmospheric processes and individual chemical reactions to  $HNO_3$ . For all cities, the  $HNO_3$  is dominated by photochemical formation within the region (Fig. 6c). Gas-particle partition, advection transport and dry deposition are the primary "sinks" for  $HNO_3$ . By comparing the "reduction" and "no reduction" emission scenarios, the change of  $HNO_3$  photochemical formation in three regions was quite consistent with that of  $NO_3^-/EC$  in Fig. 2, which further proves the effects of stronger oxidation during the lockdown. As for the sink, in Beijing, owing to higher photochemical formation rate, the amount of sink during COVID-lockdown has increased. According to the above-identified major formation pathways, rising  $NH_3$  may prompt the partitioning of  $HNO_3$  to the aerosols. However, no change in partitioning/sink ratio was found between the two periods. This is because  $NH_3$  has already been highly in excess (nearly all  $R > 1$ ) before COVID-19 in BTH, so the nitrate formation is less sensitive to  $NH_3$ . Due to the insensitivity, nitrate formation does not show a great increase with  $NH_3$  elevation in BTH. Different from BTH, there are some cases  $R < 1$  during pre-COVID period in Nanjing and Changsha, indicating that nitrate formation is sometimes  $NH_3$ -sensitive. The rising  $NH_3$  favors more gaseous  $HNO_3$  converting to particle phase thus the partitioning/sink ratio is enhanced from 60% to 94% in Nanjing and from 71% to 97% in Changsha. More  $HNO_3$  is converted into particulate form therefore deposition/sink ratio declined from 12% to 5% in Nanjing and from 18% to 2% in Changsha, which means that less  $HNO_3$  is removed from the atmosphere by dry deposition.

The gaseous  $HNO_3$  and particle phase nitrate have different deposition velocities, resulting in different pollution levels.  $HNO_3$  has a fast deposition velocity because of its high solubility, diffusivity and reactivity (Adon et al., 2013), and thus its residence time in the air is quietly short. In  $NH_3$ -limited region, the produced  $HNO_3$  can settle down to surface or water and be removed from the atmosphere quickly. However, in  $NH_3$ -abundant region, the newly formed gaseous  $HNO_3$  is quickly neutralized by  $NH_3$  and converted to particulate nitrate. Due to large surface resistance ( $R_s$ ), the deposition velocity of particle phase nitrate is 4–10 times smaller than gaseous  $HNO_3$  under same underlying surface type (McRae, 1982; Hanson and Lindberg, 1991). Thereby, the suspended particulate nitrate can stay in the atmosphere for a relatively long time (days and weeks), continuously accumulating to form haze pollution.

As aforementioned, many factors such as  $NO_x$  reduction, meteorological field, increased oxidizing capacity and ammonia, etc., can have



**Fig. 6.** (a) Comparison of probability density distribution of the observed molar ratio R in Hebi station during the COVID-lock (red line) and pre-COVID (blue line) periods. (b) Same as (a) but in Nanjing station. The dotted line indicates the position of R = 1. (c) Contributions of different atmosphere processes to HNO<sub>3</sub> during the pre-COVID (no Reduction) and COVID-lock (Reduction) periods in Beijing, Nanjing and Changsha three cities. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

an impact on nitrate concentration. Based on the diagnostic analysis and parallel experiments in WRF-Chem. Fig. 7 gives an estimation about contributions of individual drivers to the nitrate concentrations difference between COVID-lockdown and pre-COVID periods. It is indicated that, for all cities, the nitrate changes were mainly attributed to NO<sub>x</sub> emissions reduction. However, with the increase in ambient oxidizing capacity and NH<sub>3</sub>, the effect of NO<sub>x</sub> reduction on nitrate concentration is partly offset, especially in BTH. Overall, with the joint influence of high concentrations of oxides during COVID-lock period, NO<sub>x</sub> can be effectively oxidized into HNO<sub>3</sub>, and then neutralized by abundant NH<sub>3</sub> to form particulate nitrate.

#### 4. Conclusions

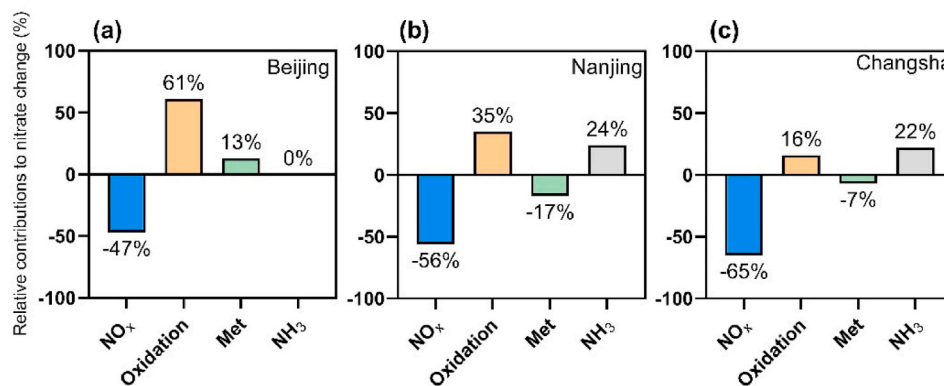
By integrating ground observations in Beijing, Nanjing and Changsha, the three megacities in eastern China and chemical model simulations, we found a significant reduction in NO<sub>x</sub> emissions but less improvement of nitrate pollution during COVID-19. In this work, we investigated the underlying reasons and found the NO<sub>x</sub> reduction have side effects on mitigating nitrate pollution. First, dramatic reductions on NO<sub>x</sub> emissions in China enhanced O<sub>3</sub> concentration by transforming the sensitivity of O<sub>3</sub> production and reducing NO<sub>x</sub> titration. All three cities demonstrated the change of the dominance of the VOCs sensitive regime to the mixed sensitive regime with relatively high O<sub>3</sub> mixing ratios. Therefore, the ground-level observation sites presented a significant increase of O<sub>3</sub> (by ~69%) during COVID-19 outbreak. In addition to observation, the chemical box model and regional transport model were conducted to understand the relationship between NO<sub>x</sub> and related oxidation products. The simulations revealed that, due to VOCs limited, the NO<sub>x</sub> reduction enhanced ambient OH and NO<sub>3</sub> radicals, and thus

facilitated the conversion efficiency of NO<sub>2</sub> to HNO<sub>3</sub>. Along with the effective production efficiency caused by higher oxidizing capacity, HNO<sub>3</sub> remained at the previous level although the precursor NO<sub>x</sub> has dropped significantly.

Second, NO<sub>x</sub> emission reductions reduced the formation of NH<sub>4</sub>NO<sub>3</sub> particles and consequently increased the ambient free NH<sub>3</sub> concentrations by 10–40% in northern cities. The sensitivity simulations by fixing the meteorological field of COVID-19 showed that the increased NH<sub>3</sub> favors more HNO<sub>3</sub> partitioning to particle nitrate and lessens HNO<sub>3</sub> dry deposition, especially in NH<sub>3</sub>-limited area. Compared with gas phase HNO<sub>3</sub>, particle nitrate has a slower deposition velocity and therefore can suspend in the atmosphere for a long time. The higher oxidizing capacity together with increasing ambient NH<sub>3</sub> concentrations resulted in higher production efficiency of NO<sub>x</sub> to HNO<sub>3</sub> and gas-particle partition efficiency of HNO<sub>3</sub>. Overall, the sharp NO<sub>x</sub> reduction and several nitrate pollution during COVID-19 lockdown proved to us that pure NO<sub>x</sub> emission reduction is not an effective way to alleviate winter nitrate. Future control strategies for secondary nitrate pollution should not only reduce the emissions of NO<sub>x</sub>, but also reduce key oxidants (such as O<sub>3</sub>) involved in nitrate production. To minimize the side effects caused by NO<sub>x</sub> emission reduction, precise and concurrent NO<sub>x</sub> and VOCs emission reductions are needed.

#### CCRediT authorship contribution statement

**Chuanhua Ren:** Data curation, Visualization, Writing – original draft. **Xin Huang:** Conceptualization, Methodology, Writing – review & editing. **Zilin Wang:** Methodology. **Peng Sun:** Data curation. **Xuguang Chi:** Data curation. **Yue Ma:** Writing – review & editing. **Derong Zhou:** Methodology. **Jiantao Huang:** Data curation. **Yuning Xie:**



**Fig. 7.** Contribution of individual drivers to the nitrate concentrations difference between COVID-lockdown and pre-COVID periods in (a) Beijing, (b) Nanjing, and (c) Changsha cities. The drivers include NO<sub>x</sub> emissions reduction (blue bar), improving oxidizing capacity (yellow bar), meteorological field (green bar) and increasing NH<sub>3</sub> level (grey bar). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



Methodology. **Jian Gao:** Methodology. **Aijun Ding:** Supervision, Funding acquisition.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.atmosenv.2021.118715>.

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